Three-Dimensional Surface Treatment of MoS₂ Using BCl₃ Plasma-Derived Radicals

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ABSTRACT: The realization of next-generation gate-all-around field-effect transistors (FETs) using two-dimensional transition metal dichalcogenide (TMDC) semiconductors necessitates the exploration of a three-dimensional (3D) and damage-free surface treatment method to achieve uniform atomic layer-deposition (ALD) of a high-k dielectric film on the inert surface of a TMDC channel. This study developed a BCl₃ plasma-derived radical treatment for MoS₂ to functionalize MoS₂ surfaces for the subsequent ALD of an ultrathin Al₂O₃ film. Microstructural verification demonstrated a complete coverage of an approximately 2 nm-thick Al₂O₃ film on a planar MoS₂ surface, and the applicability of the technique to 3D structures was confirmed using a suspended MoS₂ channel floating from the substrate. Density functional theory calculations supported by optical emission spectroscopy and X-ray photoelectron spectroscopy measurements revealed that BCl radicals, predominantly generated by the BCl₃ plasma, adsorbed on MoS₂ and facilitated the uniform nucleation of ultrathin ALD-Al₂O₃ films. Raman and photoluminescence measurements of monolayer MoS₂ and electrical measurements of a bottom-gated FET confirmed negligible damage caused by the BCl₃ plasma-derived radical treatment. Finally, the successful operation of a top-gated FET with an ultrathin ALD-Al₂O₃ (~5 nm) gate dielectric film was demonstrated, indicating the effectiveness of the pretreatment.

KEYWORDS: MoS₂, surface functionalization, BCl₃ plasma, radicals, atomic layer deposition, high-k dielectric

1. INTRODUCTION

For a continuous technical node scaling of Si field-effect transistors (FETs), gate-all-around FETs (GAAFETs) with multiple nanosheet- or nanowire-shaped channels completely wrapped with a high-k gate dielectric film are projected to gradually replace FETs with fin structures.^{1,2} Moreover, ultrathin Si channels in GAAFETs are expected to be replaced with alternative semiconductors in the future, such as transition metal dichalcogenides (TMDCs) with two-dimensional (2D) layer structures, which are more immune to mobility degradation and short-channel effects than Si, even at subnanometer thicknesses.^{3,4} Thus, to ensure uniform encapsulation of TMDC channel layers within a three-dimensional (3D) GAAFET structure, it is essential to employ an atomic layer deposition (ALD) process that allows the deposition of an ultrathin high-k gate dielectric film with

excellent step coverage capabilities and electrical properties.^{5,6} However, the absence of chemically reactive bonds on TMDC surfaces hinders the uniform growth of ultrathin high-k gate dielectric films during ALD at high temperatures, necessitating the development of various surface treatments and passivation techniques.⁷ In addition, to implement a GAAFET with multiple TMDC channels, it is necessary to develop a damagefree 3D surface-treatment method.

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Figure 1. ALD coverage improvement by BCl₃ plasma-derived radical treatment and its applicability to a 3D structure. (a) Schematic of the remote plasma chamber used for BCl₃ plasma-derived radical treatment. (b) SEM (top) and AFM (middle and bottom) results of ALD-Al₂O₃ (~2 nm) on MoS₂ flakes with and without BCl₃ plasma-derived radical treatment. (c) TEM analysis results of the ALD of Al₂O₃ on the BCl₃ plasma-derived radical-treated MoS₂ flakes suspended by patterned Mo supports.

Although many approaches have been proposed to enhance the deposition uniformity and thickness scalability of high-k films on 2D TMDC surfaces,⁷ little attention has been paid to addressing these issues on 3D TMDC substrates. One potential technique for the surface treatment of 3D structures is the use of chemically reactive and electrically neutral radicals generated in a plasma process, without directionality. Previous studies utilized O_2^{8-10} and Cl_2^{11} plasmas for the treatment of 2D TMDC surfaces. The O₂ plasma treatment significantly improved the coverage of subsequent ALD high-k films on MoS₂.^{8,9} However, as a side effect, the simultaneous formation of Mo oxide may increase the off-current of FETs.¹⁰ Although the Cl₂ plasma treatment improved the MoS₂ FET performance, it was only used to modify the MoS₂ channel surface in a bottom-gated FET configuration without subsequent deposition of high-k films.¹¹

This study used a novel 3D and nondestructive treatment approach for MoS_2 surfaces that employs BCl_3 plasma-derived radicals to promote uniform nucleation of high-k gate dielectric films in subsequent ALD.¹² To demonstrate the 3D surface treatment capability of BCl_3 -generated radicals, a remote plasma system with an ion-filtering mesh was utilized and the sample was placed upside down. The facile and uniform growth of an ultrathin Al_2O_3 film using ALD was demonstrated, which exhibited outstanding compatibility with 3D structures. Additionally, the working mechanism of this process was proposed based on density functional theory (DFT) calculations. Furthermore, the absence of physical damage during treatment was verified by fabricating and electrically characterizing the MoS_2 FETs with bottom- and top-gated structures.

2. EXPERIMENTAL DETAILS

2.1. BCl₃ Plasma-Derived Radical Treatment of MoS₂. A 13.56 MHz AC inductively coupled plasma (ICP) generator was used to generate the BCl₃ remote plasma. Figure 1a illustrates the custombuilt remote ICP reactor used in this study, which underwent modifications involving the insertion of two electrically grounded mesh grids made of stainless steel with a thickness of 0.14 mm. These

mesh grids were identical, featuring a square array of square holes measuring 0.135×0.135 mm. They were overlapped without twisting and were spaced approximately 2 cm apart. The primary purpose of this modification was to use the mesh grids as a plasma shield to prevent the ions produced by the plasma from reaching the substrate, effectively covering an area of 30×30 cm, consistent with the chamber dimensions. The use of two mesh grids aimed to enhance the prevention of ions more effectively. In addition, to indirectly demonstrate the potential applicability of the proposed process to 3Dstructured devices such as GAAFETs, the specimens were attached to an inverted 4-inch Si wafer that was floated approximately 1.5 mm above the bottom of the plasma chamber. The optimal BCl₃ plasma parameters in our system, such as plasma power (250 W), working pressure (2.1 mTorr), and treatment time (300 s), were preselected by comparing the surface coverage of the ALD-Al₂O₃ thin films on the single crystalline MoS₂ flakes using plan-view scanning electron microscopy (SEM), as shown in Figure S1. As soon as the treatment was completed, the samples were loaded into the ALD chamber within 5 min to minimize their exposure to ambient air. The chemical species produced by BCl₃ plasma were analyzed through optical emission spectroscopy (OES, Isoplane SCT 3200) using a grating with a blaze wavelength of 300 nm and a groove density of 1200 mm^{-1}

2.2. ALD of Al₂O₃ and Fabrication of MoS₂ FETs. Heavily ptype-doped Si substrates with thick SiO₂ films (90 nm) were cleaned with acetone and isopropyl alcohol (IPA) for 3 min each using a sonicator, and then thick MoS₂ flakes mechanically exfoliated from a bulk crystal (470MOS2M-AB, SPI Supplies) were transferred onto the substrates using conventional 3 M scotch tape. Any remaining tape residues on the MoS₂ flakes were removed by immersing the samples in acetone at 80 °C for 1 h, followed by rinsing with IPA. The ALD of Al₂O₃ was conducted at a process pressure of ~0.1 Torr in a laboratory-scale and custom-built thermal ALD system with a traveling wave design, using trimethylaluminum (TMA) and H₂O precursors. Because lower temperatures are known to improve film coverage on MoS₂ by suppressing the thermal desorption of precursors,¹³ the lowest deposition temperature of 200 °C was selected while remaining within the typical Al2O3 ALD window of 200-300 °C.¹⁴ Each ALD cycle consisted of a series of injections of TMA (1 s), N₂ (20 s), H₂O (5 s), and N₂ (30 s). The TMA and H₂O canisters were maintained at a temperature of 9 °C, and their delivery lines were heated to 60 and 100 °C, respectively. The thickness of the Al₂O₃ films was monitored on dummy Si substrates cleaned with a 1%





Reaction Coordinates

Figure 2. Mechanism analyses for the BCl₃ plasma-derived radical treatment and the subsequent Al_2O_3 ALD process. (a) OES analysis result of BCl₃ plasma. (b) DFT modeling of the adsorption energy reaction pathways of BCl₃ plasma-generated radicals on the MoS₂ surface. (c) Comparison of the energy reaction pathways of the ALD-Al₂O₃ deposition process on MoS₂ surfaces with and without adsorbed BCl radicals, based on DFT modeling.

HF solution, which was subjected to a simultaneous ALD process with the MoS_2 substrates. Thickness measurements were conducted using a spectroscopic ellipsometer (SE MG-1000UZ, NANO-VIEW) at the MEMS Sensor Platform Center of Sungkyunkwan University. The deposition rate of the Al_2O_3 film on Si was approximately 1 Å/ cycle at 200 °C.

To fabricate both bottom- and top-gated MoS₂ FETs, a Cr/Au source/drain layer with a thickness of 10 nm/50 nm was deposited using e-beam evaporation followed by a lift-off patterning process. An identical Cr/Au layer was used for the top-gate electrode in a top-gated MoS₂ FET after the deposition of the Al₂O₃ top-gate dielectric on MoS₂ pretreated with BCl₃ plasma-derived radicals.

2.3. Characterization Methods and DFT Calculations. The surface coverage of the ALD-Al2O3 films on MoS2 was examined using SEM (S-4700, Hitachi). In addition, cross-sectional transmission electron microscopy (TEM, ARM 200F, JEOL) was used to observe the conformal deposition characteristics of ALD-Al₂O₃ on MoS₂ flakes pretreated with BCl₃ plasma-derived radicals. The TEM samples were prepared using a focused ion beam (SMI 3050TB, SII NanoTechnology Inc.) system. The possible changes of chemical bonds on the MoS₂ surface during the BCl₃ plasma-derived radical treatment and/or ALD-Al2O3 were examined using X-ray photoelectron spectroscopy (XPS, MultiLab 2000, Thermo VG) with an Mg K α source. A Raman and photoluminescence (PL) spectrometer (Alpha 300M+, Witec) was used to identify and characterize the monolayer MoS₂ flakes transferred onto SiO₂ to evaluate the BCl₃ plasma-derived radical treatment effect. The electrical properties of the fabricated MoS₂ FETs were characterized using a Keysight B1500A semiconductor parameter analyzer.

To examine the energy changes during the chemical process and the effects of the BCl_3 plasma-derived radical treatment, DFT calculations were performed using a Vienna ab initio simulation

package (VASP) with a PBEsol functional.^{15–17} The first step involved geometric optimization of the MoS₂ unit cell, which was performed until a convergence condition of 0.01 eV/Å was satisfied using 11 × 11 × 3 *k*-points for a *k*-spacing of <0.2/Å and a cutoff energy of 500 eV. To calculate the formation energy of the MoS₂– molecular chemical bonds that could be generated during the ALD process, a 5 × 5 supercell with one layer of MoS₂ structure was generated from the optimized unit cell. Γ (gamma) *k*-points and 3 × 3 × 1 *k*-points were used for geometry optimization and formation energy calculations. Based on the difference in the formation energy between the reactant and product, we evaluated the ALD reaction pathway that synthesized Al₂O₃ on MoS₂ with TMA and H₂O.

3. RESULTS AND DISCUSSION

3.1. Coverage Improvement and Applicability to 3D Structures. First, the improvement in the ALD-Al₂O₃ film coverage on MoS₂ through BCl₃ plasma-derived radical treatment was demonstrated, as shown in Figure 1b. The Al₂O₃ films were deposited on both BCl₃ plasma-treated and untreated thick MoS₂ flakes for 20 ALD cycles, resulting in the formation of approximately 2 nm-thick Al₂O₃ films on Si at 200 °C. Subsequently, the surface coverage of the films was examined using SEM and AFM. On the pristine surface of MoS₂ without any treatment, ALD led to the formation of Al₂O₃ islands with numerous pinholes, indicating incomplete coverage, as observed using SEM and AFM. This phenomenon can be attributed to the higher degree of physical adsorption of the ALD precursors rather than their chemical bonding with MoS₂, as reported previously. In contrast, after the BCl₃ plasma-derived radical treatment, the formation of a



Figure 3. Results of the chemical analysis after various surface passivation. (a) Mo 3d and S 2s, (b) B 1s, and (c) Cl 2p XPS peaks observed in the MoS₂ flakes that underwent different surface passivation: only BCl₃ plasma-derived radical treatment (blue line), BCl₃ plasma-derived radical treatment followed by ALD of Al_2O_3 (red line), and only ALD of Al_2O_3 (black line).

continuous Al_2O_3 thin film was identified, as confirmed by the corresponding SEM and AFM images (Figure 1b), which exhibited conformal deposition without any detectable pinholes.

The hardware configuration of the BCl₃ plasma-derived radical treatment system was specifically designed to expose the MoS₂ surface to radical species with minimal directionality (Figure 1a). This feature enables the application of BCl₃ plasma-derived radical treatment to 3D sample structures, facilitating the conformal wrapping of a 2D sheet channel with a high-k dielectric film, which is necessary for GAAFET design. To demonstrate the potential applicability of the BCl₃ plasmaderived radical treatment to a 3D-structured device, a MoS₂ bridge structure resembling an actual GAAFET device was fabricated, as shown in Figure 1c. The bridge structure was designed to suspend the thick MoS₂ flakes above the SiO₂ substrate using two separate Mo (thickness of \sim 500 nm) supports. These supports were sputter-deposited and patterned using photolithography and etching with a conventional wet etchant (Mo-72, purchased from WINCHEM Co.); the detailed steps of the fabrication are illustrated in Figure S2. After the BCl₃ plasma-derived radical treatment and ALD- Al_2O_3 film deposition (target thickness of ~12 nm on Si) on the fabricated bridge structure, a cross-sectional TEM sample was prepared and analyzed, as shown in Figure 1c. To provide structural stabilization for the suspended MoS₂ flake during TEM sampling, an additional layer of the ALD-Al₂O₃ film with a thickness of approximately 200 nm was deposited to fill the gap between the MoS_2 and the substrate. In addition, to differentiate the two Al₂O₃ layers, an approximately 10 nmthick ALD-HfO₂ film was inserted between them. Figure 1c shows the conformal deposition of an ALD-Al₂O₃ film, although a slightly thinner layer was deposited on the bottom side of the MoS₂ flakes, possibly due to an insufficient supply of ALD precursors.

3.2. Theoretical Mechanism Analyses. DFT calculations were conducted to investigate the mechanism by which the BCl₃ plasma-derived radical treatment enhanced ALD deposition on MoS₂. Our models included the adsorption of plasma-generated radicals and ALD precursors, while the atmospheric adsorbates generated during the ex situ process were not taken into consideration. The stable pathway for these reactions was determined by comparing the molecular formation energies before and after the reaction. Before DFT modeling of the plasma process was performed, an OES tool was used to experimentally examine the chemical species produced by the BCl₃ plasma. The spectra of the OES in Figure 2a confirm the generation of a small amount of B and Cl radicals as well as a dominant amount of BCl radicals within the plasma. A small peak corresponding to AlCl observed in the OES spectrum was likely a result of the chemical reaction between BCl₃ and the Al chamber wall. To check the possibility of enhanced nucleation of Al₂O₃ on MoS₂ through the production of AlCl during BCl₃ plasma pretreatment, a separate experiment was conducted using a similarly configured BCl₃ plasma system with an Al-free chamber. The results showed a similar improvement in the coverage of Al_2O_3 on MoS₂₁ suggesting that the coverage improvement observed in the main experiment was mostly induced by the presence of B, Cl, and BCl radicals rather than by the influence of the Al chamber wall.

The DFT calculation results shown in Figure 2b indicate that the energy reaction of the produced B, Cl, and BCl radicals enabled their stable adsorption onto the MoS₂ surface. This adsorption served as a mediator, facilitating the binding of the metal precursor during the subsequent ALD process. Consequently, the adsorption of TMA molecules onto the preadsorbed B, Cl, and BCl radicals on the MoS₂ surface was found to be a more energetically stable reaction pathway than direct adsorption on the MoS₂ surface. For instance, when the BCl radicals, identified as the predominant species in the plasma according to OES analysis, were preadsorbed on MoS₂ followed by the ALD $-Al_2O_3$ deposition process (Figure 2c), the Cl atoms readily transitioned to a gaseous state because of their strong binding to the CH₃ groups of TMA. Consequently, stable MoS₂-B-Al(CH₃)₂ bonds were formed as the final products on the MoS₂ surface. Therefore, the ALD pathway with BCl radical treatment was more energetically favorable than direct ALD deposition, and the energy of the



Figure 4. Electrical characteristics after BCl₃ plasma-derived radical treatment. (a) Raman and PL spectra of a monolayer MoS₂ flake with and without BCl₃ plasma-derived radical treatment. (b) Schematic (top) and transfer curve (bottom) of a bottom-gated bulk MoS₂ FET (channel length and width of 10 μ m each) before and after BCl₃ plasma-derived radical treatment. (c) Schematic (top) and transfer curve (bottom) of a top-gated bulk MoS₂ FET with BCl₃ plasma-derived radical treatment followed by ALD of Al₂O₃ (~5 nm) as the top gate dielectric. Inset in panel (c) is an optical image of a fabricated top-gated bulk MoS₂ FET (channel length and width of 10 and 15 μ m, respectively).

final state of MoS_2 –B–Al(CH₃)₂ was approximately 80% lower than that of MoS_2 –Al(CH₃)₂ in the ALD process without any pretreatment.

To validate the aforementioned DFT calculation model experimentally, XPS measurements were conducted on thick MoS₂ flakes coated with an ALD-Al₂O₃ (\sim 2 nm) film, both with and without BCl₃ plasma pretreatment, as shown in Figure 3. The C 1s peak with a binding energy of 284.8 eV was used as the calibration reference for all the measured XPS spectra.¹⁸ In the pretreated MoS₂ samples before and after Al_2O_3 deposition, B 1s (~192 eV) and Cl 2p (~201 and ~200 eV for $2p_{1/2}$ and $2p_{3/2}$ peaks, respectively) peaks were observed. These observations confirmed the facile chemical adsorption of B, Cl, and BCl radicals on MoS₂, consistent with the results of the DFT calculations. Notably, the intensity of the Mo peak was significantly reduced following the deposition of Al₂O₃ on the MoS₂ surface pretreated with the BCl₃ plasmaderived radicals because of the Al₂O₃ thickness effect, whereas a negligible change in the Mo peak intensity was observed for the untreated sample. This finding again confirms that the BCl₃ plasma-derived radical treatment enhanced the nucleation of Al₂O₃ and led to enhanced coverage on the MoS₂ surface.

3.3. Possible Physical Damage and FET Performance. As discussed in the experimental section, the hardware configuration for the BCl₃ plasma-derived radical treatment was carefully optimized to minimize physical damage to the MoS₂ surface by removing the ionic species produced by the BCl₃ plasma. To directly assess the possible adverse effects of the treatment on the MoS₂ surface, a monolayer MoS₂ flake prepared using the gold exfoliation method¹⁹ was used for Raman and PL measurements, as shown in Figure 4a. In the Raman spectrum, distinctive peaks associated with MoS₂, including the in-plane mode (E_{2g}) and the out-of-plane mode (A_{1g}), were observed at ~384.1 and ~401.7 cm⁻¹, respectively, when using a 532 nm excitation laser wavelength. The distance between these two peaks (~17.6 cm⁻¹) was used to experimentally confirm the MoS₂ monolayer following a reference source.²⁰ Compared with the pristine state, the Raman peak positions of MoS₂ remained nearly unchanged, and no decrease in their intensity was observed, even after treatment with a monolayer of MoS₂. These observations strongly indicate that the MoS₂ flakes were not noticeably etched during BCl₃ plasma-derived radical treatment. In the corresponding PL spectra of the monolayer MoS₂ flakes before and after treatment, no evidence of etching or degradation of MoS₂ was observed, as there were no significant changes, except for a slight increase in the intensity of the main peak. This change in the main PL peak is consistent with previous research on the chemical doping of MoS₂.²¹ suggesting that radicals adsorbed on MoS₂ may induce subtle doping effects.

To further confirm the minimal adverse effects of the BCl₃ plasma-derived radical treatment on MoS₂, bottom-gated FETs were fabricated using a thick bulk MoS₂ flake (tens of nanometers) and a SiO_2 gate dielectric (90 nm). The drain current–gate voltage $(I_D - V_G)$ characteristics were measured at a fixed drain voltage of 0.1 V, as shown in Figure 4b. There was no increase in the off-current level of the bottom-gated FET after the BCl₃ plasma-derived radical treatment, indicating that there was no detectable damage on the MoS₂ channel. However, a noticeable shift in the $I_D - V_G$ curve toward a positive gate voltage was observed after surface treatment. This shift can be attributed to the doping effect caused by the binding of B to MoS₂, which is consistent with that observed in the PL spectra (Figure 4a). Furthermore, the increase in the on-current level of the device with the surface treatment could be attributed to the removal of absorbed OH species on the channel, which degraded the electrical properties of the device, 22 because of the BCl₃ plasma-generated radicals.

To demonstrate the potential application of the BCl_3 plasma-derived radical treatment on MoS_2 for subsequent

ALD of high-k films, top-gated FETs were fabricated using a bulk MoS₂ flake and an ultrathin Al₂O₃ gate dielectric (target thickness of approximately 5 nm on Si). The resulting device structure and representative $I_{\rm D} - V_{\rm G}$ characteristics are shown in Figure 4c. Although the gate dielectric was extremely thin, the gate leakage current was considerably low and stable. This improvement could be attributed to the uniform and pinholefree deposition of the ultrathin Al₂O₃ gate dielectric film on the MoS₂ surface, facilitated by BCl₃ plasma-derived radical pretreatment. The distribution of electrical parameters for the top-gated FETs is plotted and compared with that for the pristine bottom-gated FETs in Figure S3. The average values of the extracted parameters for the top-gated FETs were as follows: on/off ratio of $\sim 10^6$, subthreshold swing of $\sim 140 \text{ mV}/$ dec, and field-effect mobility of ~9 $\text{cm}^2/(\text{V s})$. These values indicate a reasonably high performance of the top-gated MoS₂based FETs, which further highlights the potential of extending the technology to GAAFETs with a 2D channel material.

4. CONCLUSIONS

In conclusion, our study demonstrated the potential of the BCl₃ plasma-derived radical treatment as an effective 3D surface modification technique for MoS₂. This treatment improved the coverage and conformality of the ALD-Al₂O₃ films on both planar and suspended MoS₂ surfaces, demonstrating its applicability for future FETs with GAA structures. Our findings, supported by the OES, indicate that BCl₃ gas primarily decomposed into BCl radicals in the plasma state. Based on DFT calculations, the adsorption of these radicals on MoS₂ facilitated the chemical reactions with an Al precursor, enhancing the nucleation of ALD-Al₂O₃ films on MoS₂ surfaces. The reaction pathways determined through DFT calculations were experimentally verified through XPS analysis of the samples under different process conditions. Furthermore, a comparison of the Raman and PL spectra of monolayer MoS₂ and the electrical properties of bottom-gated bulk MoS₂ FETs before and after the BCl₃ plasma-derived radical treatment proved that the MoS₂ surface remained undamaged with some observed doping effects. Finally, the practicality of the treatment in terms of device performance was demonstrated through the electrical characterization of a top-gated bulk MoS₂ FET with an ultrathin ALD Al₂O₃ gate dielectric (~ 5 nm). This study provides valuable insights and experimental evidence for the application of BCl₃ plasmaderived radical treatment in the surface functionalization of MoS_{2} , thereby providing possibilities for the development of high-performance 3D-structured FET devices based on 2D materials.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acsami.3c09311.

SEM images of ALD Al_2O_3 on MoS_2 with different process variables for BCl₃ plasma-derived radical treatment, fabrication process scheme of MoS_2 bridge sample, and statistical distribution of electrical parameters of bottom-gated and top-gated bulk MoS_2 FETs (PDF)

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Notes

The authors declare no competing financial interest.

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